

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of) Art Unit: unknown
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Huima) Examiner: unknown
)
Serial No.: unknown) Docket No: SSH-001
)
Filed: 10/26/01)

J1017 U.S. PTO
10/035604
10/26/01

For: METHOD FOR MANAGING COMPILED FILTER CODE

Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.97-1.98, the undersigned would like to make the following prior art references of record in the above-identified patent application. The undersigned believes that some of these references may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

While this Information Disclosure Statement may contain material information pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any individual reference referred to herein is prior art to the invention disclosed and claimed in the above-identified patent application.

Each reference listed herein may be accompanied by an explanation of its relevance. While this explanation is believed to generally reflect the contents of the references which the undersigned believes a reasonable examiner might consider relevant and material to the examination of the above-identified patent application, it is not intended that the examiner rely on the description as unfailingly accurate or complete. A copy of each reference is enclosed for the express purpose of providing the examiner with an opportunity to perform an independent

evaluation to arrive at an independent assessment of its relevance and materiality, if any, to the claimed subject matter.

Cited Art

"PathFinder: A Pattern-Based Packet Classifier", Mary L. Bailey, Burra Gopal, Michael A. Pagels, Larry L. Peterson, and Prasenjit Sarkar, appears in *Proceedings of the First Symposium on Operating Systems Design and Implementation*, Usenix Association, November, 1994.

"The BSD Packet Filter: A New Architecture for User-level Packet Capture", Steven McCanne and Van Jacobson, Lawrence Berkeley Laboratory, December 19, 1992.

"Two Algorithms for Maintaining Order in a List", Paul F. Dietz and Daniel D. Sleator, © 1987.

"DPF: Fast, Flexible Message Demultiplexing Using Dynamic Code Generation", Dawson R. Engler and M. Frans Kaashoek, M.I.T. Laboratory for Computer Science, Cambridge, MA.

"Using *screend* to Implement IP/TCP Security Policies", Jeffrey Mogul, *NSL Technical Note TN-2*, digital Network Systems Laboratory, July 1991.

"The Packet Filter: An Efficient Mechanism for User-level Network Code", Jeffrey C. Mogul, Richard F. Rashid, Michael J. Accetta, digital Western Research Laboratory, November, 1987.

Dated: 10/26/2001

Respectfully submitted,



Ronald Craig Fish

Reg. No. 28,843

Attorney for Applicant(s)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington D.C. 20231 on October 26, 2001.

(Date Of Deposit)



Ronald Craig Fish, President

Ronald Craig Fish a Law Corporation

Reg. No. 28,843

FORM PTO-1449
(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
SSH-001SERIAL NO.
unknownINFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
HUIMA

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE
10/26/01GROUP
unknownJ1017 U.S. PTO
10/035604

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
	A					
	B					
	C					
	D					
	E					
	F					
	G					
	H					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUB. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	I						
	J						
	K						
	L						
	M						
	N						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
O	"PathFinder: A Pattern-Based Packet Classifier", Mary L. Bailey, Burra Gopal, Michael A. Pagels, Larry L. Peterson, and Prasenjit Sarkar, appears in <i>Proceedings of the First Symposium on Operating Systems Design and Implementation</i> , Usenix Association, November, 1994.
P	"The BSD Packet Filter: A New Architecture for User-level Packet Capture", Steven McCanne and Van Jacobson, Lawrence Berkeley Laboratory, December 19, 1992.
Q	"Two Algorithms for Maintaining Order in a List", Paul F. Dietz and Daniel D. Sleator, Copyright 1987.
R	"DPF: Fast, Flexible Message Demultiplexing Using Dynamic Code Generation", Dawson R. Engler and M. Frans Kaashoek, M.I.T. Laboratory for Computer Science, Cambridge, MA.
S	"Using <i>screend</i> to Implement IP/TCP Security Policies", Jeffrey Mogul, <i>NSL Technical Note TN-2</i> , digital Network Systems Laboratory, July, 1991.
T	"The Packet Filter: An Efficient Mechanism for User-level Network Code", Jeffrey C. Mogul, Richard F. Rashid, Michael J. Accetta, digital Western Research Laboratory, November, 1987.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.